

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
114104-008

In Re Application Of: **Markus Rothkranz et al.**

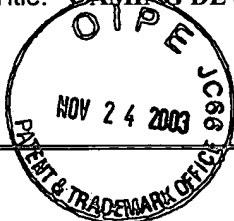
Serial No.
10/660,401

Filing Date
September 10, 2003

Examiner
Unknown

Group Art Unit
Unknown

Title: **CASINO DEVICE HAVING PIVOTING SYMBOL INDICATOR**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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GAMING DEVICE HAVING PIVOTING SYMBOL INDICATOR



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:


(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **November 19, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence

Karin Barrett

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.



Signature

Dated: **November 19, 2003**

Adam H. Masia

Reg. No. 35,602

Bell, Boyd & Lloyd, LLC

P.O. Box 1135

Chicago, Illinois 60690-1135

(312) 807-4284

cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Markus Rothkranz et al.
Appl. No.: 10/660,401
Conf. No.: Unknown
Filed: September 10, 2003
Title: GAMING DEVICE HAVING PIVOTING SYMBOL INDICATOR
Art Unit: Unknown
Examiner: Unknown
Docket No.: 114104-008

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
Des. 400,597	November 3, 1998	Hedrick et al.
Des. 402,702	December 15 1998	Seelig et al.
Des. 406,865	March 16, 1999	Heidel
Des. 441,031 S	April 24, 2001	Seelig et al.
Des. 443,313 S	June 5, 2001	Brettschneider
Des. 465,531 S	November 12, 2002	Luciano, Jr. et al.
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4,410,178	October 18, 1983	Partridge
4,695,053	September 22, 1987	Vazquez, Jr. et al.
4,732,386	March 22, 1988	Rayfiel
5,152,529	October 6, 1992	Okada

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,364,100	November 15, 1994	Ludlow et al.
5,395,111	March 7, 1995	Inoue
5,449,173	September 12, 1995	Thomas et al.
5,560,603	October 1, 1996	Seelig et al.
5,584,763	December 17, 1996	Kelly et al.
5,664,998	September 9, 1997	Seelig et al.
5,823,872	October 20, 1998	Prather et al.
5,823,874	October 20, 1998	Adams et al.
5,848,932	December 15, 1998	Adams
5,882,261	March 16, 1999	Adams
5,911,418	June 15, 1999	Adams et al.
5,927,714	July 27, 1999	Kaplan
5,976,015	November 2, 1999	Seelig et al.
6,004,207	December 21, 1999	Wilson, Jr. et al.
6,059,658	May 9, 2000	Mangano et al.
6,086,066	July 11, 2000	Takeuchi et al.
6,089,977	July 18, 2000	Bennett
6,089,978	July 18, 2000	Adams et al.
6,105,962	Aug. 22, 2000	Malavazos et al.
6,113,098	September 5, 2000	Adams
6,142,873	November 7, 2000	Weiss et al.
6,142,874	November 7, 2000	Kodachi et al.
6,159,098	December 12, 2000	Slomiany et al.
6,162,121	December 19, 2000	Morro et al.
6,173,955 B1	January 16, 2001	Perrie et al.
6,203,429 B1	March 20, 2001	Demar et al.
6,213,876 B1	April 10, 2001	Moore Jr.
6,220,593 B1	April 24, 2001	Pierce et al.
6,224,483 B1	May 1, 2001	Mayeroff
6,227,970 B1	May 8, 2001	Shimizu et al.
6,251,013 B1	June 26, 2001	Bennett

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,254,481 B1	July 3, 2001	Jaffe
6,267,669 B1	July 31, 2001	Luciano Jr. et al.
6,270,411 B1	August 7, 2001	Gura et al.
6,302,790 B1	October 16, 2001	Brossard
6,305,686 B1	October 23, 2001	Perrie et al.
6,315,663 B1	November 13, 2001	Sakamoto
6,336,863 B1	January 8, 2002	Baerlocher et al.
6,340,158 B2	January 22, 2002	Pierce et al.
6,368,216 B1	April 9, 2002	Hedrick et al.
6,386,974 B1	May 14, 2002	Adams
6,398,220 B1	June 4, 2002	Inoue
6,419,579 B1	July 16, 2002	Bennett et al.
6,461,241 B1	October 8, 2002	Webb et al.
6,481,713 B2	November 19, 2002	Perrie et al.
6,533,660 B2	March 18, 2003	Seelig et al.
6,537,152 B2	March 25, 2003	Seelig et al.
6,582,307 B2	June 24, 2003	Webb
6,609,972 B2	August 26, 2003	Seelig et al.

OTHER DOCUMENTS

4DU Dice Unit Advertisement written by starpoint.uk.com, printed on September 3, 2002.

American Bandstand Brochure written by Anchor Games, published in 2001.

Big Shot!™ Advertisement published by Aristocrat Technologies, Inc., published in 2002.

Big Top Keno Advertisement published by Aristocrat Technologies, Inc., published in 2000.

Bonus Roulette Brochure written by F. Franco, not dated.

Buck's Roulette Brochure written by R. Franco, not dated.

Chariot's of Fortune Brochure written by R. Franco, not dated.

Classic Pot of Gold Brochure written by Ace Coin Equipment Ltd., not dated.

Cyberdyne Gaming Brochure written by Cyberdyne Gaming, not dated.

Elvira® Mistress of the Dark™ Advertisement written by IGT, published in 2002.

Elvis Hits Advertisement written by IGT, published in 1999.

Holy Smoke Brochure written by Impulse Gaming Ltd., not dated.

Jack and the Beanstalk™ Brochure written by AC Coin & Slot, not dated.

King of the Grill™ Brochure written by AC Coin & Slot, not dated.

Line-Up Brochure written by AC Coin & Slot, not dated.

Little Green Men Jr.™ Advertisement written by AC Coin & Slot, not dated.

Little Green Men Jr.™ Article written by Strictly Slots, published in February 2003.

Miss America Brochure written by AC Coin & Slot, not dated.

Mix and Match Article written by Strictly Slots, published in April 2002.

Mix and Match Advertisement published by AC Coin & Slot, not dated.

Money Grab Article written by Strictly Slots, published in April 2001.

Monster Match Article written by Strictly Slots, published in January 2002.

On The Money! Article written by Strictly Slots, published in December 2000.

Payout!™ Advertisement written by www.csds.com/Gaming/Products/g_Payout.htm, printed on January 15, 2001.

Payout!™ Article written by Casino Data Systems, not dated.

Pick a Prize Brochure written by Acres Gaming Incorporated, published prior 2001.

Power Slotto Brochure published by AC Coin & Slot prior to 2002.

Press Your Luck Brochure published by AC Coin & Slot prior to 2002.

Quick Pick Paytime Brochure written by Acres Gaming Incorporated, published prior to 2001.

R&B™ Brochure published by AC Coin & Slot, not dated.

Reel Dice Advertisement written by Gerber & Glass, published in 1936.

Royal Roulette Brochure written by Impulse Gaming Ltd., not dated.

Slot Machine Buyer's Handbook, A Consumer's Guide to Slot Machines written by David L. Saul and Daniel R. Mead, published in 1998.

Silver City Roundup Brochure published by AC Coin & Slot, not dated.

Slot Machines A Pictorial History of the First 100 Years, 5th edition written by Marshall Fey, published in 1983-1997.

Slot Machines on Parade, 1st edition written by Robert N. Geddes and illustrated by Daniel R. Mead, published in 1980.

Spin-A-Lot Brochure written by Acres Gaming Incorporated, published prior to 2001.

Take Your Pick Article written by Strictly Slots, published in March 2001.

Yahtzee Bonus Advertisement written by Mikohn, published in 1999.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Adam H. Masia

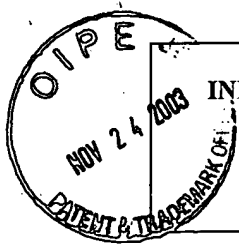
Reg. No. 35,602

P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4284

Dated: November 19, 2003



**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 114104-008	Application No. 10/660,401
Applicant Markus Rothkranz et al.	
Filing Date September 10, 2003	Group Unknown

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	Des. 400,597	November 3, 1998	Hedrick et al.			
	Des. 402,702	December 15 1998	Seelig et al.			
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<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	



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	Elvis Hits Advertisement written by IGT, published in 1999.
	Holy Smoke Brochure written by Impulse Gaming Ltd., not dated.
	Jack and the Beanstalk™ Brochure written by AC Coin & Slot, not dated.
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